

JONATHAN KENT WU, CPA

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EDUCATION

COLUMBIA UNIVERSITY GRADUATE SCHOOL OF BUSINESS

May 2022 – May 2024

MASTER OF BUSINESS ADMINISTRATION | FINANCE & MANAGEMENT

Leadership: Elected MBA Class Social Representative; Elected Student Leadership and Ethics Board Chair

Teaching Assistant: Managerial Economics core course; Personal Leadership & Success elective; Diversity on Wall Street

Special Projects: Moderated DEI fireside chat panel plenary; Department of Energy; AlphaSights; Dialectica; Prosapient

Relevant Coursework: Distressed Investing; Managerial Negotiations; Power and Influence; Value Investing

NYU STERN SCHOOL OF BUSINESS UNDERGRADUATE COLLEGE

BACHELOR OF SCIENCE | CERTIFIED PUBLIC ACCOUNTING & FINANCE

Leadership: Resident Assistant

CERTIFIED PUBLIC ACCOUNTANT

Licensed in the State of New York

EXPERIENCE

DEPARTMENT OF ENERGY / ALPHASIGHTS / DIALECTICA / PROSAPIENT

2024 – Present

Independent Adviser, Fee Based Engagements [Special Projects & Strategic Initiatives]

New York, NY

- Provided strategic insights to AlphaSights, Dialectica, and Prosapient; researched 25+ diversified markets/industries
 - Resulted in actionable recommendations; engendered 20% efficiency improvements for public client operations
- Advised on Department of Energy 48C program applications; supported 10+ clients in clean energy manufacturing
 - Procured \$15M+ in tax credits; ensured 100% compliance with program guidelines mandated by federal agencies
- Delivered expert guidance; strategized market entry roadmap for finance and technology private clients for 1 year
 - Produced tailored reports and presentations; enabled clients to achieve 15% faster go-to-market IPO time horizon
- Authored rigorous financial and regulatory analysis; contracted with private equity and hedge fund clients for 1 year
 - Secured funding and approvals for projects worth \$50M+; received stellar feedback from strategy consultancies

ALTER DOMUS

2023 – 2023

Senior Fund Manager, Credit Fund Accounting [Private Credit & Fund Administration]

New York, NY

- Oversaw preparation of financial statements for newly formed \$1B business development corporation fund portfolio
 - Complied 100% with GAAP/FASB for trial balances; engineered system automation within demanding deadlines
- Validated collateralized loan obligation fund investor allocations across 75+ accounts pursuant to investment mandate
 - Maintained 99% accuracy rate in partners' capital reports for both limited/general partners over 12-month period
- Directed separate managed account fund accounting processes; took ownership of 500+ partnership journal entries
 - Reconciled intercompany transactions worth \$200M+; aligned partner allocations accurately with agreements
- Streamlined and orchestrated preparation of financial statements for \$300M real estate investment trust portfolio
 - Exceeded partners/directors' expectations within aggressive deadlines; achieved accuracy across 50+ accounts

KPMG

2022 – 2022

Senior Associate, Financial Services/Capital Markets [Big Four & Client Service Advisory]

New York, NY

- Executed financial transformations for 20+ investment banking clients encompassing corporate target operating model
 - Complied 100% with SOX/SEC regulations; identified mission critical cost-saving opportunities worth \$500K+
- Led 15+ engagements; completed risk assessments; identified key control weaknesses; rendered detailed status reports
 - Implemented 90% of recommendations by clients within 6 months of project kickoff dates as stated in charters
- Coached and mentored team of 5 consultants/auditors; conducted financial exams across investment banking industry
 - Accomplished 100% on-time production rate for all campaigns/missions under contract as planned by principals
- Optimized and accelerated engagements for 10+ clients; mapped risk assessments; proposed salient progress timelines
 - Issued final reports with 98% on-time completion; satisfied compliance with SOX/SEC regulations from PCAOB

SIA PARTNERS

2019 – 2022

Senior Consultant, Insurance Advisory Services [Compliance & Regulatory Implementation]

New York, NY

- Prepared and analyzed financial statements for \$500M+ real estate portfolio; satisfied compliance with GAAP/FASB
 - Resolved highly material financial reporting bottlenecks by 15% year-over-year and 30% quarter-over-quarter
- Drove month-end processes; reconciled 50+ accounts; published deep dive financial results within 5-day close period
 - Earned 98% satisfaction score in reviews from Big Four accounting firms and sell-side capital markets clients
- Spearheaded \$20M budgeting/forecasting process; created financial insights sparking 10+ strategic conversations
 - Reduced operational expenses by 10% over 12-month period for prominent life and property casualty insurers
- Eliminated reconciliation discrepancies; collaborated with prime brokers to uphold accuracy of 1,000+ 1099 forms
 - Rectified financial reporting disconnects by 10% within Internal Revenue Service deadlines during year end close

FORVIS MAZARS

2018 – 2019

Lead Consultant, Risk Advisory Services [Public Accounting & Corporate Strategy]

New York, NY

- Provided lease accounting database solutions and general ledger carve-outs for securities portfolios totaling \$300M
 - Enhanced financial reporting efficiency for luxury retail, pharmaceutical, and banking legal entities by 20%
- Offered clients expertise on key US regulatory requirements and IFRS standards for current expected credit losses
 - Promoted merits of BSA, AML, and central counterparty clearing protocol to 15 insurers and 10 asset managers
- Initiated and expedited 10+ consulting engagements with high profile investment banking and technology clients
 - Improved operational efficiencies for insurance and asset management clients by 25% benefiting CCAR readiness
- Coordinated and facilitated 5+ seminars; refined logistics, guest speakers, and materials in connection with CECL
 - Increased executive leadership attendee engagement by 20%; boosted buy-side financial service retention by 15%

SS&C TECHNOLOGIES

2017 – 2018

Senior Associate, Fund Services [Private Equity & Hedge Fund Administration]

New York, NY

- Reviewed and compiled \$400M in master/feeder fund capital calls, accruals, distributions, and valuation adjustments
 - Reinforced timely and auditable quarterly reports with 99.5% accuracy rate across alternative investment practice
- Upgraded accuracy of standalone fund waterfalls, management fees, redemptions, and rebalancing for 50+ investors
 - Established fund agreements for 5 new fund launches; instituted \$200M in robust fund level internal controls
- Monitored sources and uses of cash for \$200M+ blocker/parallel fund; generated 100+ investor notifications quarterly
 - Standardized 100% on-time releases for all capital calls, distributions, redemptions, and rebalancing transactions
- Developed and tracked training schedules for 20+ employees; brokered internal workshops on career development
 - Increased overall fund services team productivity by 30%; remediated significant financial reporting gaps by 10%

SKILLS

Alteryx, Bloomberg, Investran, PAM, Portia, Power BI, Python, R, SAP, SAS, SharePoint, SQL, Tableau, VBA, Visio